

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
CENIX.009

In Re Application Of:  
Chih-hao Chen

FEB 20 2002

**COPY OF PAPERS  
ORIGINALLY FILED**

Serial No.  
09/978,185

Filing Date  
10/15/2001

Examiner  
Unknown

Group Art Unit  
2872

Invention: **MODULAR DESIGN FOR OPTICAL SUBSYSTEMS AND METHOD OF USE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

11/20/2001

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ \_\_\_\_\_ verified small entity declaration(s)
  - ☐ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☐ Other (list):

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	<b>\$370.00</b>
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☐ Design application filing fee

☐ Total number of independent claims =

☒ Total number of claims = **27** **\$63.00**

☐ Multiple dependent claims

<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	<b>\$65.00</b>
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☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

**Total completion of application fees \$498.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees

**Total fees due \$498.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
**CENIX.009**

In Re Application Of:

**Chih-hao Chen**

Serial No.  
**09/978,185**

Filing Date  
**10/15/2001**

Examiner  
**Unknown**

Group Art Unit  
**2872**

Invention: **MODULAR DESIGN FOR OPTICAL SUBSYSTEMS AND METHOD OF USE**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Attention: Manager, Application Branch

The fee of **\$498.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0238**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0238**  
A duplicate copy of this sheet is enclosed.

  
Signature

**William S. Francos, Esq. (Reg. No.: 38,456)**

**VOLENTINE FRANCOS, PLLC  
12200 SUNRISE VALLEY DRIVE  
SUITE 150  
RESTON, VA 20191  
TEL.: (703) 715-0870  
FAX.: (703) 715-0877**

Dated: **January 15, 2002**

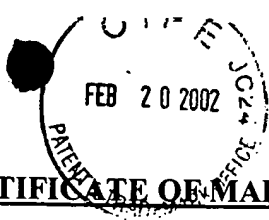
I certify that this document and fee is being deposited on **1-15-2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**Rachael L. Kahler**

Typed or Printed Name of Person Mailing Correspondence

cc:



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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I certify that the enclosed correspondence is being deposited on January 15, 2002  
with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to  
ASSISTANT COMMISSIONER FOR PATENTS,  
Box Missing Parts  
\_\_\_\_\_, Washington, D.C. 20231.

RACHAEL L. KAHLER  
PATENT SECRETARY

VOLENTINE FRANCOS, PLLC  
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RESTON, VA 20191

J.V. REF. NO.: CENIX.009

CLIENTS REF. NO.: APP.0009

APPLICATION SERIAL NO.: 09/978,185

FILED: 10/15/2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

FEB 20 2002

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/978,185	10/15/2001	Chih-Hao Chen	CENIX.009

VOLENTINE FRANCO, PLLC  
SUITE 150  
12200 SUNRISE VALLEY DRIVE  
RESTON, VA 20191

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ORIGINALLY FILED

CONFIRMATION NO. 3921

## FORMALITIES LETTER



\*OC000000007095037\*

Date Mailed: 11/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$63.
  - \$63 for 7 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 498.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/26/2002 RHR151 00000015 500236 09978185  
01 FC:201 370.00 CH  
02 FC:203 63.00 CH  
03 FC:205 65.00 CH